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At its meeting on 16 May 2019, the general assembly of the German Archaeological Institute adopted the following new version of the statutes of the German Archaeological Institute, which shall enter into force on 1 October 2019. The commissioner for research and academic relations policy and for foreign cultural relations of the Foreign Office approved the new statutes on 25 July 2019.

Statutes of the German Archaeological Institute

The German Archaeological Institute (DAI), which originated as the Institute of Archaeological Correspondence (*Instituto di Corrispondenza Archeologica*) founded in Rome in 1829, adopts the following statutes with the approval of the Foreign Office:

§ 1 Mission of the German Archaeological Institute

The German Archaeological Institute is a research institute. Its mission is to carry out, further and publish research in the various archaeological disciplines and allied scientific fields globally. It promotes diversity of specializations and disciplines, international cooperation, and the development of integrated research approaches. On the basis of its research, it contributes to the protection, preservation and public awareness of cultural heritage across Europe and worldwide. It maintains research infrastructures which are available for researchers of all nationalities to use. It seeks to bring together German archaeological sciences with the entire spectrum of classical and ancient studies as well as to foster both the young generation of researchers and scientific cooperation in Europe and around the world. In so doing the Institute also serves Foreign Office policy on cultural relations, education, and scientific and academic relations.

§ 2 Legal status and structure

(1) The Institute is a federal agency with partial legal capacity, operating within the area of responsibility of the Foreign Office, and invested primarily with the right of self-government in scientific matters. It has its headquarters in Berlin, possesses its own seal and its own assets.

(2) The Institute comprises the Head Office in Berlin and the Departments in Rome, Athens, Cairo, Istanbul, Madrid, the Orient Department and the Eurasia Department in Berlin, the Romano–Germanic Commission in Frankfurt am Main, the Commission for Ancient History and Epigraphy in Munich and the Commission for Archaeology of Non-European Cultures in Bonn.

(3) Branches and research units may be attached to the individual Departments and Commissions.

(4) The tasks of these organizational units vary according to region and specialization.

(5) Their research profiles and tasks are defined by the respective mission statement in combination with the respective research plan.

(6) With the approval of the Foreign Office the general assembly can establish other organizational units or effect other organizational changes, e.g. setting up secondary facilities.

§ 3 Management of the Institute and of the administration

- (1) The Institute shall be directed by a president. He/she shall ensure coordination with the Foreign Office as regards cultural relations policy, represent the Institute and carry on litigation for the Institute. To the extent determined by the statutes, he/she shall be bound by the decisions of the executive committee (*Zentraldirektion*). Further he/she shall be responsible for those tasks whose fulfilment is not regulated by any other provision in the statutes.
- (2) The president shall be elected by the general assembly on the basis of an appointment proposal prepared by a search committee in accordance with § 10 Paragraph 3 Letter a.
- (3) The president shall be represented in his/her duties by a general secretary, who shall assist the president particularly in matters of scientific organization and policy.
- (4) The general secretary shall direct the Scientific Department at the Head Office and the central scientific services (*Zentrale Wissenschaftliche Dienst [ZWD]*), and shall be represented in his/her duties by the head of the division of building archaeology in the capacity of deputy director.
- (5) The general secretary shall be elected by the general assembly on the basis of an appointment proposal prepared by a search committee in accordance with § 10 Paragraph 3 Letter a.
- (6) The Departments and Commissions of the German Archaeological Institute shall be directed by a director, who shall be represented by a deputy director.
- (7) The executive director and the deputy director shall be elected by the executive committee (*Zentraldirektion*) on the basis of an appointment proposal prepared by a search committee in accordance with § 6 Paragraph 7 Letter g.
- (8) The administration of the German Archaeological Institute shall be directed by an administration manager. He/she shall be at the same time the treasurer responsible for the budget.

§ 4 Bodies of the German Archaeological Institute

- (1) The German Archaeological Institute's scientific self-government bodies shall be:
 - a) the board of directors (*Direktorium*),
 - b) the executive committee (*Zentraldirektion*),
 - c) the scientific advisory committees (*wissenschaftliche Beiräte*),
 - d) committees (*Ausschüsse*),
 - e) search committees,
 - f) the general assembly.
- (2) The bodies shall fulfil different tasks in the Institute's self-government in scientific matters.
 - a) In the board of directors, operating decisions are to be taken; and general planning pertaining to research and strategic objectives as well as recommendations for the strategic specialization-related further development of the Institute, its research infrastructures and publication strategy shall be elaborated.
 - b) The executive committee shall take decisions on the basis of recommendations by the scientific advisory committees and the board of directors, initiate evaluations and itself elaborate recom-

mendations for the strategic specialization-related further development of the Institute, its research infrastructures and publication strategy.

c) The Departments and Commissions of the Institute shall be assisted in scientific matters by a respective scientific advisory committee and advised about the strategic specialization-related further development of the respective Department or Commission.

d) The committees shall advise the bodies and the management of the Institute.

e) The search committee shall convene meetings from time to time in accordance with their temporary task.

f) The general assembly shall possess decision-making authority in electing the management of the Institute, in amending the statutes and making organizational changes.

(3) Voting membership of the board of directors, the executive committee or the scientific advisory committee simultaneously is not permitted.

(4) Members of the board of directors, the executive committee or the scientific advisory boards may be members of committees and search committees.

(5) The board of directors and the executive committee shall together comprise the general assembly.

(6) Membership of the executive committee and the scientific advisory committees shall be unremunerated.

(7) All the bodies should be well balanced in terms of equal representation.

§ 5 Board of Directors (*Direktorium*)

(1) The board of directors shall consist of the president, the general secretary and the executive directors of the Departments and Commissions. It shall be chaired by the president, who shall be represented by the general secretary.

(2) The board of directors shall be convened for a directors' conference by the president at least twice yearly, or more often if requested by at least three members. The head of the administration of the Institute shall attend the directors' conferences with an advisory vote.

(3) The executive directors shall elect a speaker and a deputy speaker from among their number for a period of four years.

(4) The duties of the board of directors shall be:

a) to elaborate plans for the strategic, specialization-related and research-related further development of the Institute, its research infrastructures and publication strategy, and to submit them to the executive committee,

b) to discuss and implement recommendations by the executive committee,

c) to review the research plan including the budgeting of the research programme, and to append an opinion for the executive committee,

- d) to make operating decisions regarding general organizational and administrative regulations,
- e) to participate in the board committees of the German Archaeological Institute, particularly § 4 Paragraph 1 Letters d, e and f.

§ 6 Executive Committee (*Zentraldirektion*)

(1) The executive committee shall have as its members:

- a) one representative of the Foreign Office,
- b) one representative of the Federal Ministry for Education and Research (BMBF),
- c) thirteen representatives of various archaeological disciplines and related fields from universities, museums and other scientific institutions who at the time of their election or re-election were in public service, not emeritus-status or retired; in terms of specialization, membership of the executive committee should adequately reflect the diversity of research at the Institute and the profile of the eleven Departments and Commissions.

(2) The president, the general secretary and the directors' speaker (pursuant to § 5 Paragraph 3) shall attend the meetings of the executive committee with an advisory vote.

(3) Depending on the agenda of the respective meetings, additional guests may be invited from time to time, particularly those from the circle of the board of directors and the scientific advisory committees.

(4) The members of the executive committee shall elect from among their number, in consultation with the president, the chair and the deputy chair of the executive committee for a period of three years. Consecutive re-election is permitted once. Later election does not constitute re-election in the sense of Sentence 2. In every case the mandate shall end with the end of membership of the executive committee.

(5) The members pursuant to § 6 Paragraph 1 Letter c shall be elected by the executive committee, taking account of proposals from the board of directors and the scientific advisory committees, for a period of five years and appointed by the president. Consecutive re-election is permitted once. Later election does not constitute re-election in the sense of Sentence 2.

(6) The executive committee shall be convened at least once yearly by the chair.

(7) The duties of the executive committee shall be:

- a) to receive and review reports, statements and recommendations from the management and the bodies of the German Archaeological Institute,
- b) to elaborate and adopt general research-related planning and strategic objectives as well as recommendations for the strategic specialization-related further development of the Institute, its research infrastructures and publication strategy on the basis of the reports, statements and recommendations,

- c) to review and adopt the research plan for the whole Institute including recommendations for the budgeting of the research programme, taking into account the recommendations of the scientific advisory committees and the board of directors,
- d) to initiate and conduct hearings and evaluations,
- e) to institute committees and search committee and to participate in the bodies of the German Archaeological Institute pursuant to § 4 Paragraph 1 Letters d, e and f,
- f) where necessary, to assign one member pursuant to § 6 Paragraph 1 Letter c to any given scientific advisory committee of the German Archaeological Institute,
- g) to institute search committee for filling vacant executive positions (§ 3 Paragraphs 1 to 6), to set the rules of procedure, to consider the appointment proposal prepared by the search committee for directors, and to append to it a decision on appointment,
- h) to agree to the transfer of directors within the Institute,
- i) to appoint members of the scientific advisory committee on the recommendation of the scientific advisory committee in consultation with the respective directors,
- j) to propose to the president the nomination of honorary members, ordinary members and corresponding members, and to award the Winckelmann Medal,
- k) to submit guidelines on scholarships to the Foreign Office for approval and to award grants, in particular the travel grants and the Wülfing fellowship, on the basis of approved guidelines in the framework of the budget,
- l) to adopt regulations for itself and for the Institute,
- m) to ensure compliance with German Research Foundation (*Deutsche Forschungsgemeinschaft, DFG*) guidelines for safeguarding good research practice, which are recognized by the Institute as a member of the DFG.

§ 7 Scientific Advisory Committees (*Wissenschaftliche Beiräte*)

- (1) In its work, each of the Institute's Departments and Commissions shall be assisted in scientific matters by an advisory committee.
- (2) The scientific advisory committee shall consist as a rule of five to seven members who at the time of their election or re-election were not emeritus-status or retired representatives of various archaeological disciplines and related fields from universities, museums and other scientific institutions; in terms of specialization, board membership shall adequately reflect the profile defined by the mission statements in conjunction with the research plans of the respective Departments and Commissions.
- (3) The director and the deputy director of the respective Department or Commission shall attend the meetings of the scientific advisory committees with an advisory vote.
- (4) Depending on the agenda of the meetings, additional guests may be invited, particularly those from the circle of the Department/Commission concerned.

(5) Pursuant to a decision by the executive committee, a member of the executive committee under § 6 Paragraph 1 Letter c may attend with an advisory vote.

(6) The members of the respective scientific advisory committee shall elect from among themselves, in consultation with the executive director of the respective Department or Commission, a chair and a deputy chair for a period of three years. Consecutive re-election is permitted once. Later election does not constitute re-election in the sense of Sentence 2. In every case the mandate shall end with the end of membership of the respective scientific advisory committee.

(7) The members as specified in Paragraph 2 shall be elected by the scientific advisory committees in consultation with the respective directors for a period of five years and appointed by the executive committee. Consecutive re-election is permitted once. Later election does not constitute re-election in the sense of Sentence 2.

(8) The scientific advisory committees shall be convened by the chair or the deputy chair at least once a year.

(9) The duties of the scientific advisory committees shall be:

a) to receive and review reports, statements and recommendations of the directors of the respective Department or Commission,

b) to forward recommendations for the strategic development of the respective Department or Commission in terms of specializations in the framework of the Institute's tasks and organizational structures to the respective directors and to the executive committee,

c) to review the research plan and the budget necessary for implementing the research programme, and to append to it a recommendation for the respective directors and the executive committee,

d) to respond to queries by the executive committee and the management of the German Archaeological Institute,

e) to review the conception and implementation of medium- and long-term projects and to participate in the publication strategy,

f) to prepare documentation for a decision on the awarding of grants and scholarships, and to submit it to the president for a decision,

g) to propose to the president candidates for nomination as corresponding members of the Institute,

h) to make recommendations for the invitation of guest researchers,

i) to participate in the Institute's bodies, in particular as per § 4 Paragraph 1 Letters d and e.

§ 8 Committees

(1) Committees shall be established by the executive committee, taking into account proposals by the board of directors or the scientific advisory committee.

(2) The scholarship committee shall be instituted as a standing committee by the executive committee. It shall have responsibility for preparing documentation for a decision particularly in relation to awarding the travel grants and the Wülfing fellowship.

(3) The members of committees shall be appointed by the executive committee, taking into account proposals by the Institute's bodies as per § 4 Paragraph 1 Letters a and c.

(4) Members of the committees may be members of the Institute's bodies as per § 4 Paragraph 1 Letters a to c, Institute personnel as well as external experts appointed by the executive committee.

(5) The rules of procedure for appointment to and participation in a committee, if not set out in the regulations, shall be determined by the executive committee.

(6) The committees shall have responsibility for preparing recommendations and decision-making documentation for the work of the Institute's bodies as per § 4 Paragraph 1 Letters a to c as well as for the management of the Institute.

§ 9 Search Committees

(1) The members of a search committee shall be appointed by the executive committee in consultation with the scientific advisory committee of the Department or Commission in which the position of executive director or deputy director is to be filled.

(2) Members of the bodies of the Institute pursuant to § 4 Paragraph 1 Letters a to c may be appointed to a search committee, but the outgoing office-holder may not. Institute personnel may be appointed to search committee from time to time.

(3) In respect of the composition of search committees, it is important to ensure firstly balanced representation of the bodies of the Institute pursuant to § 4 Paragraph 1 Letters a to c and secondly adequate representation in terms of academic specialization. At least half of the members should therefore represent the Department or Commission's scientific profile.

(4) The search committees shall be directed:

a) by the chair of the executive committee in the event of the election of the president or the general secretary,

b) by the president in the event of the election of an executive director or deputy director.

(5) The duties of the search committees shall be:

a) to prepare an appointment proposal as the basis for decision-making by the general assembly in the event of the election of the president or the general secretary,

b) to prepare an appointment proposal as the basis for decision-making by the executive committee in the event of the election of directors.

(6) The rules of procedure for the search committee, if not set out in the regulations, shall be determined by the executive committee.

§ 10 General Assembly

(1) The executive committee and the board of directors shall together comprise the general assembly. The chair of a given meeting shall be elected by the members of the general assembly under the direction of the president or the general secretary.

(2) The general assembly shall be convened by the president or, deputizing for him/her, by the general secretary, if the decisions set out in Paragraph 3 are to be taken.

(3) The duties of the general assembly shall be:

a) to discuss the appointment proposal prepared by a search committee for the president or the general secretary and to elect the president or the general secretary and append a decision on appointment by the Foreign Office,

b) to adopt amendments to the statutes and to submit them to the Foreign Office for approval,

c) to establish other organizational units or effect other organizational changes, e.g. setting up secondary facilities, and to submit them to the Foreign Office for approval.

§ 11

The Institute's assets comprise primarily the libraries, collections, inventory, money and financial investments from third-party contributions and from real estate, provided that the property has been assigned to them. In the event of the dissolution of the Institute, the assets shall be utilized again for analogous scientific purposes.

§ 12

Decisions concerning organizational changes pursuant to § 2 Paragraph 6 and § 10 Paragraph 3 Letter c, appointments to the executive positions pursuant to § 6 Paragraph 7 Letter g, and amendments to the Statutes pursuant to § 10 Paragraph 3 Letter b are conditional upon the approval of the Foreign Office. The budget estimate shall be drawn up by the Foreign Office on the basis of notification by the Institute.

§ 13

The statutes and their amendments shall be published in the Joint Ministerial Gazette (GMBI). Unless otherwise stipulated, they shall enter into force on the day after publication.

§ 14

(1) These statutes shall enter into force on 1 October 2019. They replace the statutes of 1 January 2005.

(2) Upon the entry into force of the new statutes, the advisory committee (*Engerer Ausschuss*) established pursuant to § 4 of the statutes of 1 January 2005 shall be terminated.

(3) Upon the entry into force of the new statutes the president and the representative of the executive directors on the advisory committee shall resign as members of the executive committee in accordance with § 5 Paragraph 1 Letters a and c of the statutes from 1 January 2005.

(4) Upon the entry into force of the new statutes the president, the Foreign Office representative, and both the executive and the deputy director shall resign from the Commissions of the Romano–Germanic Commission, the Commission for Ancient History and Epigraphy, and the Commission for Archaeology of Non-European Cultures.

(5) The members of the executive committee elected under the statutes of 1 January 2005, the Foreign Office representative and the representative of the Federal Ministry for Education and Research, who is a member of the executive committee on the basis of a ZD resolution of 8 May 2015, shall remain members of the executive committee after the statutes enter into force.

(5) The members of the Commissions of the Romano–Germanic Commission, the Commission for Ancient History and Epigraphy and the Commission for Archaeology of Non-European Cultures who were elected pursuant to the statutes of the three Commissions of 7 March 2006 in addition to the institutional members of the Commission of the Romano–Germanic Commission pursuant to § 5 (d–e) shall become members of the scientific advisory committee of the respective Commission upon the entry into force of the new statutes.

(6) After the new statutes enter into force the number of executive committee members shall be successively reduced following the procedure described at the executive committee meeting of 15/16 May 2019 in accordance with the provisions of the new statutes.

(7) After the new statutes enter into force the number of members of the scientific advisory committees of the three Commissions shall be successively reduced following the procedure adopted at the meetings of the Romano–Germanic Commission on 27/28 February 2019, the Commission for Ancient History and Epigraphy on 1 March 2019 and the Commission for Archaeology of Non-European Cultures on 24/25 January 2019 in accordance with the provisions of the new statutes.

(8) The scientific advisory Committees of the Departments (Athens, Eurasia Department, Istanbul, Cairo, Madrid, Orient Department, Rome and the Scientific Department at the Head Office) shall be elected by the newly constituted bodies according to the specifications of the new statutes upon their entry into force. Until that time the Departments shall be advised by the temporary scientific advisory committees appointed by the board of directors and confirmed at the executive committee meeting of 19 May 2018.

(9) The scholarship committee and the advisory committee on monument preservation and site management (*Baudenkmalausschuss*) shall remain in place. Members of the scholarship committee and the chair of the advisory committee on monument preservation and site management shall be the elected members of the executive committee before the new statutes entered into force until they retire from the executive committee and no longer than until the expiry of a three-year term after the new statutes enter into force.